



DATE _____

SP-085F

NEW JERSEY STATE POLICE

**Application for Stevedore License
For Term Expiring December 1, 2025**

INSTRUCTIONS:

- A. This application consists of this form (SP-85f), Personal Information Supplement Form (SP-085e) and/or Self Certification of Personal Information Form (SP-085h) and Ownership Information Supplement Form (SP-085g) if applicable.
- B. This form shall be completed on behalf of the applicant by an individual who is knowledgeable about the past and present operations and policies of the applicant entity.
- C. A response must be provided to each question on the application. If a particular question does not apply, the response must state "Not Applicable" or "NA".
- D. The applicant may expand the answers given or the information submitted by attaching additional pages, using 8 1/2" x 11" paper. Identify the question number you are answering on each additional page.
- E. The Division will take all necessary measures to protect the confidentiality of any information disclosed herein.
- F. Definitions--The following definitions shall be applied to the questions contained herein:

"Applicant" shall mean, if a business entity is submitting an application for a license, the entity, and each principal thereof;

"Beneficial Interest" shall mean profit, benefit or advantage resulting from a business, regardless of whether the person who enjoys such profit, benefit or advantage holds formal ownership or title in the business;

"Principal" shall mean:

OF A SOLE PROPRIETOR, the proprietor;

OF A PARTNERSHIP, all the partners;

OF A CORPORATION, every officer and director and every individual or entity holding five percent (5%) or more of the outstanding shares or other ownership interest of the corporation;

OF A LIMITED LIABILITY COMPANY/CORPORATION (LLC), all the members and/or managers (if authority is delegated);

OF another type of business entity, the chief operating officer or chief executive officer, irrespective of organizational title, and all persons or entities having an ownership interest of five percent (5%) or more;

OF ANY BUSINESS ENTITIES, all other persons participating directly or indirectly in the control of the business entity;

“Principal” shall also include:

Of the applicant entity, a partner, member or manager (when authority is delegated), or stockholder holding five percent (5%) or more of the outstanding shares of a corporation that is itself a partnership, corporation, LLC or other entity. (1) An individual shall be considered to hold stock in a corporation where such stock is owned directly or indirectly by or for: (i) such individual; (ii) the spouse of such individual (other than a spouse who is legally separated from such individual pursuant to a judicial decree or an agreement cognizable under the laws of the state in which such individual is domiciled); (iii) the children, grandchildren and parents of such individual; (iv) a corporation in which any of such individual, the spouse, children, grandchildren and parents of such individual own five percent (5%) or more in value of the stock of such corporation; (2) A partnership shall be considered to hold stock in a corporation where such stock is owned, directly or indirectly by, or for, a partner in such partnership; and (3) a corporation shall be considered to hold stock in a corporation that is an applicant as defined in this section where such corporation holds five percent (5%) or more in value of the stock of a third corporation that holds stock in the application entity.

“Principal” shall also include, notwithstanding any provision of the above paragraph, in the case of an applicant who is a regional subsidiary of or otherwise owned, managed by, or affiliated with, a business that has national or international operations, any person not employed by the applicant who has direct management supervisory responsibility for the operations or performance of the applicant; and the chief executive officer, chief operating officer and chief financial officer or any person exercising comparable responsibilities and functions, of any regional subsidiary or similar entity of such business.

Part I—APPLICANT BUSINESS INFORMATION

The undersigned company makes application for a license as a stevedore to perform the following services (check all boxes applicable to the type of services and/or labor to be performed):

- General stevedoring;
- Clerking and checking;
- Loading and/or unloading of freight into or out of containers;
- Cargo storage or warehousing;
- Cargo repairing, marine carpentry, strapping, lashing, chocking, and coopering;
- Weighing, scaling, cargo inspection and/or sampling;
- Maintenance, mechanical, crane and/or container and/or tire and/or equipment maintenance or repair;
- Other miscellaneous labor or services, including, but not limited to, cleaning, power sweeping, intra-port trucking, vehicle preparation, export packing - specify nature of such other miscellaneous labor or services:

1. Name of applicant: _____

2. Trade Name or D/B/A of Applicant: _____

3. Corporation Address:

Number and Street

City

State

Zip Code

4. Business address within the port of New Jersey/New York district:

Number and Street		
City	State	Zip Code

5. Office Telephone Number(s): _____

6. Office Fax Number(s): _____

7. Cellular Telephone Number(s): _____

8. Website(s): _____

9. Email Address(s): _____

10. Name and title of Person in charge of applicant's business within the port of New Jersey/New York district:

11. List all agent(s) for service of process; for state of formation and all jurisdictions where qualified.

1	Name:	Address:
	Telephone No.:	Fax No.:
2	Name:	Address:
	Telephone No.:	Fax No.:
3	Name:	Address:
	Telephone No.:	Fax No.:

12. (A) Type of business organization: (Please Check One)

Sole proprietorship (i.e. a company is not incorporated and does business under the name of a person having ownership interest or under an assumed name, doing business as name (d/b/a, or trade name). **Attach a certified copy of the "Certificate of Doing Business" or its equivalent.**

Partnership (Check one)

General partnership

Limited partnership

Limited Liability partnership

SCHEDULE A. Current Principals - On Schedule A, identify all individuals who are principals of applicant business (see definitions above) and provide the information requested.

You may include multiple schedules at the end of the application.

Schedule A —

	Principal #1	Principal #2
Name (First, Middle, and Last; include maiden name where applicable)		
Home Address(es)		
Home Telephone Number(s)		
Fax Number(s)		
Cell Phone Numbers(s)		
Business Telephone Number(s)		
Social Security Number		
Date of Birth		
Title or Position		
From (date) to (date)		
% of ownership		
Number of shares		

SCHEDULE B. **Past Principals** - On Schedule B, identify all individuals who have been principals of applicant business at any point during the past ten (10) years and provide the information requested.

You may include multiple schedules at the end of the application.

Schedule B—Past Principals of Applicant Business

	Past Principal #1	Past Principal #2
Name (First, Middle, and Last; include maiden name where applicable)		
Home Address(es)		
Home Telephone Number(s)		
Fax Number(s)		
Cell Phone Numbers(s)		
Business Telephone Number(s)		
Social Security Number		
Date of Birth		
Title or Position		
From (date) to (date)		
% of ownership		
Number of shares		

14. Is the applicant a parent, subsidiary, or affiliate of any other legal entity? Yes No

If the answer is yes, explain and state the name(s) and address(es) of, and the affiliation with, such corporation or other legal entity:

15. Is the applicant joint ventured with any other firms? Yes No

If the answer is yes, state the name(s) and address(es) of same:

16. Has the applicant business owned any interest in any other stevedoring companies in the past ten (10) years? Yes No

If so, give details, including names of companies, amount of interest owned, and relevant time periods:

17. Has the applicant business owned any interest in any non-stevedoring company in the past ten (10) years? Do not include stock ownership in publicly traded companies. Yes No

If so, give details, including names of companies, amount of interest owned, and relevant time periods:

18. Has the applicant or any principal, parent, or subsidiary or other legal entity with which the applicant is affiliated previously filed at any time for a license as a stevedore? Yes No

If the answer is yes, state the details, the disposition of the application, and the name and address and affiliation with such corporation or other legal entity:

19. Has the applicant or any parent, subsidiary, or other legal entity with which the applicant is affiliated ever had a license revoked or suspended, or been reprimanded by the Division or Waterfront Commission of New York Harbor? Yes No

If yes, state the details and the disposition of the matter:

20. Please provide a list of current employees of the applicant business and provide the requested information (Use **Annex A** for additional information):
Include name, Social Security #, Address, D.O.B., Position, Date Hired, Phone #

Annex A

(Question 20 continued)

Annex A (Continued)

(Question 20 continued)

21. Are any principals of the applicant company related by kinship or marriage to any present or past employees registered or licensed to work by the Division and/or Waterfront Commission of New York Harbor? Yes No

If yes, give details:

SCHEDULE D- Contracts or Arrangements for the Movement of Waterborne Freight - Disclose the following information for any contract or arrangement (written or oral) now in force or which will take effect upon the issuance of a temporary permit or license. In lieu of specifying the details, you may attach a copy of the contract.

Client Name	Contract Commencement Date	Contract Expiration Date

Contracts or Arrangements for Services Incidental to the Movement of Waterborne Freight including but not limited to: snow removal; tire repair; cleaning; sweeping; chassis maintenance and/or repair; crane maintenance and/or repair; loading and unloading and etc. Disclose the following information for any contract or arrangement (written or oral) now in force or which will take effect upon the issuance of a temporary permit or license. In lieu of specifying the details, you may attach a copy of the contract.

Vendor	Service Provided	Contact and Address

Part II - APPLICANTS CRIMINAL, CIVIL, AND ADMINISTRATIVE HISTORY

Instruction: Any natural person who is a principal (as defined above), an officer, director, stockholder holding more than five percent (5%) of a corporation, member, manager, partner, party to a joint venture, all persons having an ownership interest of five percent (5%) or more, and all persons (irrespective of organizational title) having managerial authority, must submit a **Form SP-085e Personal Information Supplement to Application for Stevedore License** and disclose therein their arrest and criminal history and history of felony and misdemeanor convictions (if any). Any criminal history information regarding a natural person or business entity or the applicant that will not be disclosed on such SP-085e form must be disclosed in response to these questions.

24. Has the applicant or any of its officers, directors, stockholders, members, managers, partners, or any agent, employee, or other person acting on its behalf, or any parent or subsidiary corporation, or other legal entity with which it is affiliated, within the last five (5) years, been the subject of an investigation, or a party to a proceeding before any Federal, State, or local regulatory or licensing agency? Yes No

If yes, fill in the details below:

Parties / Entity Name	Dates of Proceeding	Nature of Proceeding	Disposition (if any)	Agency, Authority, or Commission Involved

25. Has the applicant or any parent or subsidiary or other legal entity with which the applicant is affiliated been charged with any crime or offenses, or been convicted of any felony or misdemeanor or other crime or offense in any jurisdiction? Yes No

If yes, disclose the details below:

Entity Name	Date of Arrest	Date of Conviction	Indictment, Docket, or Index No.	Charges and Sentence	Court and Jurisdiction

26. Are there any criminal charges or offenses or any misdemeanor or felony charges pending against the applicant or any parent or subsidiary or other legal entity with which the applicant is affiliated? Yes No

If yes, disclose the details below:

Entity Name	Date of Arrest	Charge	Indictment or Docket No.	Status	Court and Jurisdiction

27. Has any current employee of the applicant earning \$100,000 or more per year been convicted of any felony or misdemeanor in any jurisdiction? Yes No

If yes, disclose the details below:

Entity Name	Date of Arrest	Date of Conviction	Indictment, Docket, or Index No.	Status	Court and Jurisdiction

28. Are there any criminal, misdemeanor or felony charges pending against any employee of the applicant earning \$100,000 or more per year? Yes No

If yes, disclose the details below:

Entity Name	Date of Arrest	Charge	Indictment or Docket No.	Status	Court and Jurisdiction

29. Has the applicant or any parent or subsidiary or other legal entity with which the applicant is affiliated been found liable in any civil action(s) brought by any municipal, state, or federal government? Yes No

If yes, fill in details below:

Entity Name	Gov't Agency Bring Action	Date, Court & Docket No.	Name of the Investigation/Charges	Outcome / Disposition

30. Are there any pending civil actions brought by a municipal, state, or federal government agency? Yes No

If yes, fill in details below:

Entity Name	Gov't Agency Bring Action	Date, Court & Docket No.	Name of the Investigation/Charges	Outcome / Disposition

31. Has the applicant or any of its officers, directors, stockholders, partners, or any agent, employee, or other person acting on its behalf, or any parent or subsidiary corporation, or other legal entity with which it is affiliated, within the last five (5) years, been the subject of any investigation or the defendant or respondent in any proceeding by the United States or any State or local government body or any authority, agency or commission of the foregoing? (Do not discuss matters discussed in the previous question.)

Yes No

If the answer is yes, state details, including parties, dates, and nature of the investigation or proceeding.

32. During the past ten (10) years, has the applicant or any of its officers, directors, members, managers, stockholders, partners, or any agent, employee, or other person acting on its behalf, or any parent or subsidiary corporation, or other legal entity with which it is affiliated:

(A) Received a subpoena or been asked to testify before any court, grand jury, or legislative, civil, criminal or administrative body? Yes No

(B) Received a subpoena requiring the production of documents in connection with a federal, state, or local investigation? Yes No

(C) Been cited for contempt of any court, grand jury, or any legislative, civil, criminal, or administrative body? Yes No

(D) Entered a plea of nolo contendere to any felony or misdemeanor charge? Yes No

(E) Been granted immunity from prosecution for any conduct constituting a crime under federal or state law? Yes No

If the answer is yes to any of the above questions, fill in the information below:

Individual or Applicant Involved	Gov't Agency Bring Action	Date and Nature of Action/Investigation Case	Charges brought, if any	Status or Outcome

Part III - FINANCIAL INFORMATION

33. Provide a list of each financial account used by the applicant business during the past five (5) years, including, but not limited to, accounts maintained at banks, credit unions, or brokerage firms or other financial institutions, and provide the requested information: (Please use **Annex B** for additional information)

Annex B

(Question 33 continued)

Annex B (Continued)

(Question 33 continued)

34. **Real Property.** List each direct or indirect interest in real property (other than a primary residence) currently held by applicant business. If none, state “none.”

Real Property Interest (1)

Facility: _____

Address: _____

Is Facility owned leased or otherwise utilized, by the applicant?

Name and Address of Landlord or Mortgage Holder: _____

Name and Address of Person or Entity From Whom Real Property Interest Was Acquired:

Approximate Purchase or Rental Cost: _____

Approximate Current Value: _____

Real Property Interest (2)

Facility: _____

Address: _____

Is Facility owned leased or otherwise utilized, by the applicant?

Name and Address of Landlord or Mortgage Holder: _____

Name and Address of Person or Entity From Whom Real Property Interest Was Acquired:

Approximate Purchase or Rental Cost: _____

Approximate Current Value: _____

Real Property Interest (3)

Facility: _____

Address: _____

Is Facility owned leased or otherwise utilized, by the applicant?

Name and Address of Landlord or Mortgage Holder: _____

Name and Address of Person or Entity From Whom Real Property Interest Was Acquired:

Approximate Purchase or Rental Cost: _____

Approximate Current Value: _____

Real Property Interest (4)

Facility: _____

Address: _____

Is Facility owned leased or otherwise utilized, by the applicant?

Name and Address of Landlord or Mortgage Holder: _____

Name and Address of Person or Entity From Whom Real Property Interest Was Acquired:

Approximate Purchase or Rental Cost: _____

Approximate Current Value: _____

35. **Loans or Notes Held By Applicant Business.** List all outstanding loans made or outstanding notes held by applicant business in excess of \$2000. (This refers to monies that are owed to the applicant business. It includes, but is not limited to, notes on routes or trade removal businesses that have been sold to others.) If none, state “none.”

Name and Address of Debtor	Original Amount and Date of Loan	Terms of Loan, and security, if any	Approximate balance standing

36. Has the applicant business or any predecessor business been subject to forfeiture, receivership, or independent monitoring in the past ten (10) years? Yes No
 If yes, attach a copy of agreement or documentation and provide the requested information below:

Name of Entity	Judicial Body	Court Decision	Dates Involved

37. Has the applicant business and any of its principals filed all required tax returns and tax forms related to the applicant business (including, but not limited to, income tax returns, sales tax forms, and property tax forms) by the due date within a properly obtained extension period for each of the past five (5) years? Yes No

If No, provide the requested information below:

Name and Address of Principal or Applicant During Year(s) in Question	Tax Year(s) Involved	Type of Return Involved	Date(s) When Last Return(s) Were Filed	Reason for Late or Non-Filing	Penalty Assessed, If any

38. Has the applicant paid all required taxes and insurance premiums related to the business (including, but not limited to, business taxes, sales taxes, commercial rent taxes, property taxes, unemployment insurance premiums, and workers compensation premiums) for the five (5) tax years preceding the date this application is submitted? Yes No

If no, provide an explanation below. If applicant business is contesting such taxes in a pending judicial or administrative proceeding, attach the relevant documentation.

39. Tax Liens. List below any tax liens entered against the applicant business by any tax authority. If none, state “none.”

Date Entered and Docket Number	Name of Tax Attorney	Original Amount	Amount Outstanding

40. Other Monies Owed to Tax Authorities. List below the requested information on any monies currently owed by applicant business to tax authorities, other than those tax debts already listed on the question above. Indicate the status of the matter (i.e., the date by which the relevant party will make payment, whether the tax authorities have instituted proceedings against the applicant, etc.). If none, state “none.”

Date	Name of Tax Attorney	Amount	Status

41. Bankruptcy Proceedings. During the past ten (10) years, has the applicant business, any predecessor business, or any current principal of the applicant business been a debtor in a bankruptcy or reorganization proceeding? Yes No
 If yes, provide the requested information below.

Caption	Date Filed	Docket #	Court Jurisdiction	Status

42. Gifts to Applicant Business. Identify all persons or entities from whom the applicant business or any principal of the applicant business has received gifts valued at \$1,000 or more during the past (3) three years. If none, state “none”

Source of Gift	Recipient	Relationship of source of gift to recipient	Nature and amount of gift	Date of gift

43. Gifts Given by Applicant Business. Identify all persons or entities to whom/which the applicant business or any principal of the applicant business has given gifts valued at \$1,000 or more during the past three (3) years, excluding any organization recognized by the Internal Revenue Service under section 501(c)(3) of the Internal Revenue Code. If none, state “none.”

Recipient	Identify principal who gave gift - if applicant, so state	Relationship of recipient to applicant or principal	Nature and amount of gift	Date of gift

**THE FOLLOWING QUESTIONS ARE TO BE ANSWERED ONLY IF APPLICANT IS
A CORPORATION**

44. State (ex. New York, New Jersey) of incorporation: _____

45. Date of Incorporation: _____

46. Amount of capital stock of applicant issued and withstanding:

(Amount of common stock)

(Number of shares)

(Amount of common stock)

(Number of shares)

47. Names and residences of all officers and directors of said corporation as of the date of filing of this application:

Name	Residence	Officer or Director (and title, if officer)

48. Names and addresses of all stockholders who own five percent (5%) or more of the applicant stock as of the date of filing of this application:

Name of stockholder	Address of stockholders	Shares of stock Common/Preferred	Percentage of Total Outstanding

49. Does any person, other than any stockholder, officer, or director named herein, have any interest, financial, proprietary, or otherwise, directly or indirectly, in the business of the applicant? Yes No

If yes, state names, addresses, and interest of such persons and when such interest was acquired:

50. Has any person (excluding the applicant named herein, or any State or Federal banking institution) made any loan relating to the applicant's business within the last five (5) years?
Yes No
If yes, give details:

THE FOLLOWING QUESTIONS ARE TO BE ANSWERED ONLY BY INDIVIDUAL, PARTNERSHIP, OR JOINT VENTURE APPLICANTS

51. Name and residence of applicant (If partnership or joint venture, name each party):

52. Date the applicant commenced doing business under its present name: _____

53. Does the applicant have a business certificate on file? Yes No

If yes, state the date and place of such filing:

54. Does any person, other than the applicant, partner or party to a joint venture named herein, have any interest, financial, proprietary or otherwise, directly or indirectly, in the business of the applicant? Yes No

If yes, state the names, addresses, and interest of such persons and date interest was acquired:

55. Has any person (excluding the applicant, partner, party to a joint venture named herein, or any State or Federal banking institution) made any loan relating to the applicant's business within the last five (5) years? Yes No

If yes, give details:

Name of predecessor entity, if any:

THE FOLLOWING QUESTIONS ARE TO BE ANSWERED
ONLY IF THE APPLICANT IS AN LLC

56. The names and residences of all members and managers as of the date of filing of this application:

57. Date the applicant commenced doing business under its present name: _____

58. Do any persons, other than the members and managers named herein, have any interest, financial, proprietary or otherwise, directly or indirectly, in the business of the applicant?
Yes No

If yes, state the names, addresses, and interest of such persons and date interest was acquired:

59. Has any person (excluding the applicant, members or managers, or any State or Federal banking institution) made any loan relating to the applicant's business within the last five years? Yes No

If yes, give details

60. Name of predecessor entity, if any:

I certify that the information provided and the responses given in this application are true and accurate. I am aware that if any of the information and/or responses are willfully false, I and/or the Applicant business may be subject to punishment.

If Individual, sign here:

Signature

If partnership or joint venture, each partner or party to a joint venture sign here:

(If party to a joint venture is a corporation, application must be signed by president of said corporation) (1)

Signature

(2)

Signature

(3)

Signature

(4)

Signature

If corporation or L.L.C., sign as indicated here:

President : _____
Signature

Secretary: _____
Signature

Treasurer: _____
Signature

Member, Manager or Person with authority completing this application:

Signature

Title

ACKNOWLEDGMENT

I understand that providing any false answer or information, or intentionally failing to include required information herein, constitutes a crime and may also be the basis for a denial of this application.

&

RELEASE AUTHORIZATION

To: All Police Departments, Probation Departments, Selective Service Boards, Employers, Financial Institutions, Educational Institutions, and other such institutions, and all Government Agencies - federal, state, and local, without exception, both foreign and domestic

I, _____, have authorized the New Jersey State Police to conduct a full

Print Name

investigation into my background and activities.

Therefore, you are hereby authorized by any employer or agent of the New Jersey State Police provided that he or she certifies to you that I have an application or prequalification request pending before the New Jersey State Police or that I am presently a licensee, registrant or other person required to be qualified under the provision of the Waterfront Commission Act.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photocopy of this authorization will be considered as effective and valid as the original.

I, _____, on this day, _____, acknowledge that the

Print Name

Date

above statements are true to the best of my knowledge. I am personally appearing before a Notary Public for The State of New Jersey, to affirm this statement.

Applicant Signature

Date